

SOLICITOR'S APPLICATION

| | | | | OFFICIAL U | ISE (| ONLY | | | | | | |
|--|------------|---------|----------------|--|-------|---------|------------------------------------|---------------------------|--|--|----------------------------|---|
| License Number: | | Date | Date Accepted: | | | | Accepted by: | | | | | |
| Fees Paid: \$ | From | | То | | | Issue D | ate: | From | | | То | |
| Date Approved by Board | Initial: → | | | | | | | | | | -1 | |
| Date Denied by Board / / | Initial: → | | | | | | | | | | | |
| TO BE COMPLETED BY APPLICANT | | | | | | | | | | | | |
| 1. Applicant's Name (Last, First, Middle Initial): | | | | | | | | | | | | |
| 2. Date of Birth: 3. Place of | | | ice of | of Birth: | | | | 4: Home Telephone Number: | | | | |
| 5. Residential Address | | | | City | | | State | State Zip Code | | | | |
| 6. Are you eligible to work in the United States? Yes No If yes, please bring in qualifying documents and provide the information below: | | | | | | | | | | | | |
| 7. a. □ US Passport d. □ Green card b. □ Naturalization papers e. □ Visa c. □ Work permit 8. Have you ever: a. received or applied for any alcoholic beverage I | | | | | | | g. Expiration date: ory□ Yes □ No | | | | | |
| b. had any alcoholic beverage license suspended or revoked □ Yes □ No c. been convicted of a misdemeanor during the last five (5) years or a felony during the last ten (10) years (If yes, attach a copy of the court dispositon(s).) □ Yes □ No | | | | | | | | | | | | |
| 9. Does any member of your immediate family now hold an ABC license or have any financial interest, directly or indirectly, in any ABC licensed establishment in the District of Columbia? | | | | | | | | | | | | |
| 10. If you have answered yes to question 8 or 9, please submit a detailed explanation. | | | | | | | | | | | | |
| I | | | | | | | | | | | | |
| Signature 12. In what language do you | | on this | d | I sworn to beformal sy of, 20 ated? | ore m | | ary Publ | ic | | | My commissio expires on | n |

Solicitor's Employment Certification

13. To be completed by a Sole Proprietor, the individual must sign, if Partnership, each partner must sign, if Corporation, the President or Vice President must sign, if LLC, the managing member.

| 14. Are you a licensed DC Wholesaler? Yes No | | please list the state wh | nere you hold a | a license: | |
|--|----------------------|--|---------------------|---|--|
| 15. Employer's name (As shown on the ABC License) | <u>.</u> | | | | |
| 16. Employer's Address: (As shown on the ABC Licen | ise): | | | | |
| 17. Trade name: | 18. Business Telepho | ne: | 19. License Number: | | |
| If you are a Sole Proprietor, the individual must sign, President must sign, if LLC, the managing member m | | | | poration, the President or Vice | |
| Certification: I hereby certify under penalty of perjury Control Solicitor. | y that I, | (we), have employed the | above reference | ced applicant, as an Alcoholic Beverage | |
| Printed name: | | | | | |
| Characteris | | ped and sworn to before me | Note: Dilli | My commission | |
| Signature Printed name: | on this _ | day of, 20 | Notary Public | expires on | |
| Timod name. | | | | | |
| Signature | | oed and sworn to before me day of, 20 | Notary Public | My commission expires on | |
| Printed name: | | | | | |
| | | ped and sworn to before me | | My commission | |
| Signature | on this _ | day of, 20 | Notary Public | expires on | |



INSTRUCTIONS FOR FILING APPLICATION FOR ALCOHOLIC BEVERAGE CONTROL (ABC) SOLICITOR'S LICENSE APPPLICATION

Please read all questions carefully. Each question must be answered. If a question or one portion of the question does not apply; fill in the word "NONE".

<u>FEE</u>: The application must be accompanied by the proper license fee. The Solicitor's annual fee is \$325. Please see the attached prorated fee schedule. All payments can be made in the form of a cashier's check, certified check, business check, attorney's check, personal check, or money order, payable to the D.C. Treasurer, cash, or by credit card (except for American Express).

- All persons applying for the Solicitor's License must be 21 years of age.
- Applications must be submitted in person, Monday through Friday, between the hours of 8:30 a.m. to 3:30 p.m. Please bring a valid government issued identification with you.
- Please note the term "APPLICANT" as used in this application designates the person in whose name the license will be issued if the application is approved.
- Your license may be issued the same day or it may be forwarded to the ABC Board for review.
- Please be advised that you need a separate solicitor's license for each company that employees you.
- Application forms must be notarized where applicable.
- Attach extra sheets if necessary. Write, "see attachment" in any space, and print your name on the top of each sheet.

NOTE: The D.C. Department of Consumer & Regulatory Affairs (DCRA), Corporations Division and the Office of Tax and Revenue (OTR) are located at 941 North Capital Street, N.E., 1st Floor, Washington, DC 20002.

Instructions for the Solicitor's Application:

- 1. Print applicant's name (Last Name, First Name, Middle Initial);
- 2. Print applicant's date of birth;
- 3. Print applicant's place of birth;
- 4. Print applicant's home telephone number;
- 5. Print applicant's home address (street number and name, city, state and zip code)
- 6. Check appropriate box, Yes or No, if you are eligible to work in the U.S. If yes, please bring in qualifying documents and provide the information requested in number 7;
- 7. Check the appropriate box, U.S. passport, naturalization papers, green card, visa, or work permit, and list the certificate number under 7f. and expiration date under 7g.;
 - a. U.S. passport
 - b. naturalization papers
 - c. green card
 - d. visa
 - e. work permit
- 8. Check appropriate box for the following questions, "Have you ever":
 - i. received or applied for any alcoholic beverage license in DC or any state:
 - ii. had any alcoholic beverage license suspended or revoked; or
 - iii. been convicted of a misdemeanor during the last five (5) years or a felony during the last ten (10) years. If yes, attach copy of the court disposition;
- 9. Check appropriate box, Yes or No, as to whether any member of your immediate family now holds an ABC license or have any financial interest, directly or indirectly, in any ABC licensed establishment in DC;
- 10. If you have answered yes to question 8 or 9 please submit detailed explanation;
- 11. Certificate: You must sign the Certification which states: "Certification: I, hereby certify that, I have obtained and read Title 25 of the DC Official Code and Title 23 of the District of Columbia Municipal Regulations. I understand that I will be held responsible for complying with the laws and regulations contained therein. I certify under penalty of perjury, that the statements in the foregoing are true and correct." Print your name and have your signature notarized.
- 12. Please answer the question: In what language do you need vital documents translated?
- 13. **Solicitor's Employment Certification** is to be completed by a Sole Proprietor, the individual must sign, if Partnership, each partner must sign, if Corporation, the President or Vice President must sign, if LLC, the managing member.
- 14. Check the appropriate box, Yes or No, as to whether you are a licensed DC Wholesaler. If "No", please list the state where you hold a license.
- 15. Print Employer's Name as shown on ABC license;
- 16. Print Employer's Address as shown on ABC license;
- 17. Print Trade name:
- 18. Print Business telephone number;

- 19. Print License number:
- 20. If employer is a Sole Proprietor, the individual must sign, if Partnership, each partner must sign, if Corporation, the President or Vice President must sign, if LLC, the managing member must sign the certification, which states, "Certification: I hereby certify under penalty of perjury that I, (we), have employed the above referenced applicant, as an Alcoholic Beverage Control Solicitor." Print your name and have your signature notarized.

Other forms required:

Clean Hands Certification for the applicant:

Complete appropriate information then have form stamped by the District of Columbia's Office of Tax and Revenue located at 941 North Capital Street, N.E., 1st Floor, Washington, D.C. 20002.

Police Clearance:

All applicants must obtain a police clearance from the District of Columbia's Metropolitan Police Department, located at 300 Indiana Avenue, N.W., Washington, D.C. 20001. In addition, you must submit a police clearance for the jurisdiction in which you currently reside.

Court Disposition:

All persons with a misdemeanor conviction during the last five (5) years or a felony conviction during the last ten (10) years must submit a copy of the court disposition.

Other documents that are required to be submitted only if the person applying for the Solicitor's License is the owner of said business:

Federal Permit may be obtained from the U.S. Department of Alcohol, Tobacco and Firearms.

D.C. Corporate Certificate of Good Standing and Article of Incorporation may be obtained from DCRA.

D.C. Sales & Use Tax Certificate of Registration may be obtained from OTR.

SPECIAL NOTICE



SOLICITOR'S PRORATED LICENSE FEE SCHEDULE

The following fees are prorated based on the month you submit your application.

| MONTH | FEE |
|-----------|-------|
| July | \$325 |
| August | \$298 |
| September | \$271 |
| October | \$244 |
| November | \$217 |
| December | \$190 |
| January | \$163 |
| February | \$136 |
| March | \$109 |
| April | \$82 |
| May | \$379 |
| June | \$352 |



Personal History Affidavit

Sole Proprietor, Partner(s), Corporate Officer(s), Director(s), Managing Member(s), General Partner(s), Investor(s), or any person or any officer in an entity that has an ownership interest of 25% or more.

| 1. ☐ New Application | 2. Transfer Applicat | ion | 3. ☐ Stock Transfer Application | | | | |
|---|--------------------------|--------------------------|---------------------------------|---------------------------------|--|--|--|
| 4. Trade Name | | | | | | | |
| 5. Name (Last, First, Middle Initial): | | 6. Title | | | | | |
| 7. Residential Address: | City | L | State | Zip Code | | | |
| 8: Home Telephone Number: | 9. Date of Birth: | | 10. Place of Bi | rth: | | | |
| 11. Are you eligible to work in the United States? Yes No If yes, please bring in qualifying documents and provide the information below: | | | | | | | |
| 12. a. ☐ US Passport d. ☐ Gr | een card f. Certificat | e number: | g. E | g. Expiration date: | | | |
| b. \square Naturalization papers \square e. \square Vis | sa e | | | | | | |
| c. Uvork permit | | | | | | | |
| 13. Have you ever: | I | | | | | | |
| received or applied for any alcoholic | beverage license in D.C | . or any state or territ | tory \square Yes \square N | 0 | | | |
| b. had any alcoholic beverage license | | | | | | | |
| c. been convicted of a misdemeanor d | | rs or a felony during | the last ten (10) | years (If yes, attach a copy of | | | |
| the court dispositon(s).) ☐ Yes ☐ No 14. Does any member of your immediate family now hold an ABC license or have any financial ☐ Yes ☐ No | | | | | | | |
| interest, directly or indirectly, in any ABC licensed establishment in the District of Columbia? | | | | | | | |
| 15. If you have answered yes to question 13 or 14, please provide detailed information below. | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| 16. Certification: I hereby certify under penalty of perjury that the information in this application is true and correct. | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | ed and sworn to before m | | | _ My commission | | | |
| Signature on this _ | day of, 20 | Notary Public | ; | expires on | | | |



ALL INDIVIDUALS THAT HAVE AN OWNERSHIP INTEREST MUST COMPLETE THIS FORM.

CLEAN HANDS CERTIFICATION

| FOR OFFICIAL USE ONLY |
|-------------------------------|
| OFFICE OF TAX & REVENUE (OTR) |
| SIGNATURE |
| DATE |

7:

PLEASE READ CAREFULLY AND COMPLETELY BEFORE SIGNING. A FALSE STATEMENT ON THIS CERTIFICATION REQUIRES THAT THE ADMINISTRATION PROCEED IMMEDIATELY TO REVOKE THE LICENSE OR PERMIT FOR WHICH YOU ARE NOW APPLYING, AND FINE YOU \$1,000.00. THIS CERTIFICATION IS REQUIRED BY THE CLEAN HANDS ACT OF 1996; EFFECTIVE MAY 11, 1996, (D.C. LAW 11-118, D.C. OFFICIAL CODE SEC. 47-2861 et seq.) BEFORE YOU ARE ELIGIBLE TO RECEIVE A LICENSE OR PERMIT.

| | (Name – Print or Type) | , as, (Applicant's Title) |
|--------------|--|---|
| certify that | at | , social security number |
| as of this | s date, does not owe more | than \$100.00 to the District of Columbia Government as a result of: |
| 1. | Fines, penalties or interest assessed pursuant to the Litte D.C. Official Code Sec. 8-801 <i>et seq.</i>); | er Control Administrative Act of 1985, effective March 25, 1986 (D.C. Law 6-10 |
| 2. | | al Dumping Enforcement Act of 1994, effective May 20, 1994 (D.C. Law 10-1 |
| 3. | October 5, 1985 (D.C. Law 6-42; D.C. Official Code Sec. | partment of Consumer & Regulatory Affairs Civil Infraction Act of 1985, effectiv 2-1801.01 et seq.); or |
| 4. 5. | Past due District of Columbia Water and Sewer Authority | Service Fees; |
| 6. 7. | Traffic adjudication fines or penalties; Parking fines or penalties assessed by other jurisdictions the District; | , provided, that a reciprocity agreement is in effect between the jurisdiction and |
| 8. 9. | Fines assessed to car dealers; and Fines assessed pursuant to the Taxicab and Limousine C | Commission Establishment Amendment Act of 2004. |
| applying | | Iministration will move to revoke the license or permit for which I am er understand that the Administration may conduct an investigation to |
| | tand that this Certification is required as documentationing this Certification, I am not guaranteed that my licer | on to accompany my application for a license or permit, and that by use or permit will be approved. |
| | | |
| | | |

SPECIAL NOTICE

ABC License Number

ABC Application Number

The District of Columbia will provide the appropriate services and auxiliary aids, including sign language interpreters, whenever necessary to ensure effective communication with members of the public who are deaf, hearing impaired or who have other disabilities affecting communications. Requests for services and auxiliary aids should be made at least ten (10) days prior to any scheduled hearing. Please notify the ADA Coordinator at (202) 442-4423.